

*PCT #3*  
JC20 Rec'd PCT/PTO 20 MAR 2002

BOX PCT  
PATENT  
0760-0294P

IN THE U.S. PATENT AND TRADEMARK OFFICE

APPLICANT: MIKI, Keizaburo et al.

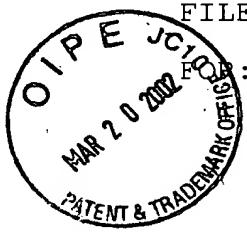
INTERNATIONAL APPL. NO.: PCT/JP00/01060

APPL. NO.: 09/914,190

Conf.: 9592

FILED: August 24, 2001

TRANGENIC MOLLUSK AND METHOD  
FOR PRODUCING THE SAME



LETTER SUBMITTING ADDITIONAL DOCUMENTS FOR  
ENTERING NATIONAL PHASE FOR A PCT APPLICATION

**BOX PCT**

Assistant Commissioner for Patents  
Washington, DC 20231

March 20, 2002

Sir:

Under the provisions of 37 C.F.R. § 1.494 or 37 C.F.R. § 1.495, attached hereto are the following additional items necessary for entering the national phase in connection with the above-identified PCT international application.

- Executed Declaration and Power of Attorney.
- Original       Photocopy
- The specification attached to the executed Declaration and Power of Attorney is a true copy of the specification which was filed in the U.S. Patent and Trademark Office on August 24, 2001, including any amendments thereto (if applicable) filed on even date therewith.

Appl. No. 09/914,190

The undersigned hereby declares that "Attorney Docket No. 0760-0294P" on page 1 of the attached inventors' Declaration corresponds to Appl. No. 09/914,190 filed August 24, 2001 entitled "TRANSGENIC MOLLUSK AND METHOD FOR PRODUCING THE SAME."

English language specification, claims, and Abstract with ( ) sheets of drawings.

Applicant claims small entity status under 37 C.F.R. § 1.27.

Attached is a copy of the Notification of Missing Requirements (Formalities Letter).

\_\_\_\_\_  
\_\_\_\_\_

No extension fee is required because the undersigned has not yet received the Notification of Missing Requirements (Formalities Letter). However, if for some reason it is determined that an extension of time is necessary, applicant hereby respectfully petitions for an extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17.

Applicant(s) hereby respectfully petitions for one (1) month(s) extension of time for the filing of the present paper in accordance with the provisions of 37 C.F.R. § 1.136 and 37 C.F.R. § 1.17. The required fee of \$55.00 is attached hereto.

03/25/2002 MNGUYEN 00000119 09914190

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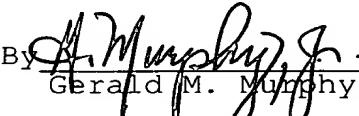
The Government Filing Surcharge in the amount of \$65.00 in accordance with 37 C.F.R. §§ 1.494 and 1.492 was previously paid for concurrently with the filing of the application on August 24, 2001.

- Submitted concurrently herewith **under separate cover** for recording is an Assignment.
- A Fee of \$0.00 to cover the increase in fees of the filing Surcharge is enclosed.
- Check(s) in the amount of \$0.00 to cover the above-mentioned fees is/are enclosed.

If necessary, the Commissioner is hereby authorized in this, concurrent, and future replies, to charge payment or credit any overpayment to Deposit Account No. 02-2448 for any additional fee required under 37 C.F.R. §§ 1.16 or 1.17; particularly, extension of time fees.

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By   
Gerald M. Murphy, Jr., #28,977

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GMM:bmp  
0760-0294P

Attachments

(Rev. 12/03/01)



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT  
 United States Patent and Trademark Office  
 Washington, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

|                               |                       |                  |
|-------------------------------|-----------------------|------------------|
| U.S. APPLICATION NUMBER NO.   | FIRST NAMED APPLICANT | ATTY. DOCKET NO. |
| 09/914,190                    | Keizaburo Miki        | 0760-0294P       |
| INTERNATIONAL APPLICATION NO. |                       |                  |
| PCT/JP00/01060                |                       |                  |
| IA. FILING DATE               | PRIORITY DATE         |                  |
| 02/24/2000                    | 02/04/2000            |                  |
| CONFIRMATION NO. 9592         |                       |                  |
| 371 FORMALITIES LETTER        |                       |                  |
| <br>*OC00000007269782*        |                       |                  |

Docketed ES  
 3-10-02  
 Perfect

O I P E MAR 20 2002 JC10  
 PATENT & TRADEMARK OFFICE

Item Mailed: 01/10/2002

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as an Elected Office (37 CFR 1.495):

- U.S. Basic National Fees
- Indication of Small Entity Status
- Priority Document
- Copy of IPE Report
- Copy of references cited in ISR
- Copy of the International Application
- Copy of the International Search Report
- Preliminary Amendments
- Request for Immediate Examination

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTH FROM THE DATE OF THIS NOTICE OR BY 22 or 32 MONTHS (where 37 CFR 1.495 applies) FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

*A copy of this notice **MUST** be returned with the response.*

FREDERICK SMITH

Telephone: (703) 305-3654

**PART 1 - ATTORNEY/APPLICANT COPY**

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |
|-----------------------------|-------------------------------|------------------|
| 09/914,190                  | PCT/JP00/01060                | 0760-0294P       |